

# Proving properties of a minimal covering algorithm

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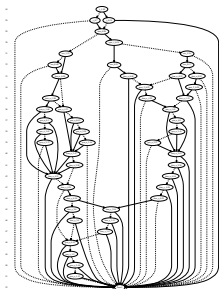
Control & Dynamical Systems  
California Institute of Technology

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# Problem statement

- Motivation: Converting binary decision diagrams to minimal formulas in disjunctive normal form
- Formulation as a minimal covering problem
- Solution using an algorithm proposed for computing small implementations of circuits (Coudert '94)
- Proofs with TLAPS
- Python implementation

## Application: Generating minimal specifications



- We use semantic methods of computation, based on BDDs.
- Specifications computed as BDDs.
- BDDs are unreadable.
- Impractical to enumerate satisfying assignments ( $3.9 \times 10^6$  for the above BDD)

Instead: Find a formula in disjunctive normal form with minimal size (No. of disjuncts).

$$\begin{aligned} & \wedge \textit{turn} \in 1..2 \wedge \textit{free} \in 0..1 \\ & \wedge \textit{free}_x \in 0..18 \wedge \textit{free}_y \in 0..18 \wedge \textit{occ} \in 1.. \\ & \wedge \textit{pos}_x \in 1..15 \wedge \textit{pos}_y \in 1..15 \\ & \wedge \textit{spot}_1 \in 0..1 \wedge \textit{spot}_2 \in 0..1 \\ & \wedge \vee \wedge (\textit{free}_x = 1) \wedge (\textit{free}_y = 1) \\ & \quad \wedge (\textit{occ} \in 2..3) \\ & \quad \wedge (\textit{spot}_1 = 0) \wedge (\textit{spot}_2 = 1) \\ & \vee \wedge (\textit{free}_x = 2) \wedge (\textit{free}_y = 1) \\ & \quad \wedge (\textit{occ} = 1) \\ & \quad \wedge (\textit{spot}_1 = 1) \wedge (\textit{spot}_2 = 0) \\ & \vee \wedge (\textit{free}_x \in 1..2) \wedge (\textit{free}_y = 1) \\ & \quad \wedge (\textit{occ} = 3) \\ & \quad \wedge (\textit{spot}_1 = 0) \wedge (\textit{spot}_2 = 0) \\ & \vee (\textit{free} = 0) \\ & \vee \wedge (\textit{free}_x = 2) \wedge (\textit{free}_y = 1) \wedge (\textit{occ} = 3) \\ & \quad \wedge (\textit{spot}_2 = 0) \end{aligned}$$

# Minimal covering

Given:

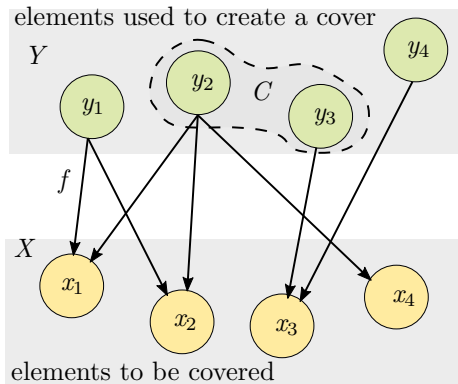
- a set  $X$
- a set  $Y$
- a function  $f \in [X \times Y \rightarrow \text{BOOLEAN}]$

Find a set  $C \in \text{SUBSET } Y$  that:

- 1 “covers” the set  $X$ , as defined by  $f$ :

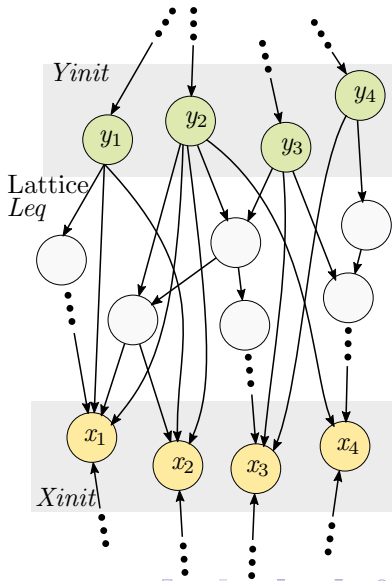
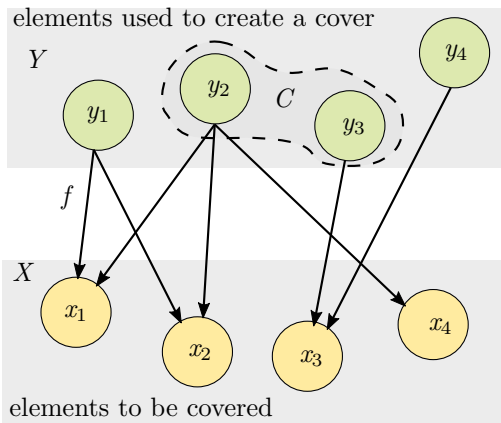
$$\forall u \in X : \exists v \in Y : f[u, v]$$

- 2 has minimal cardinality among covers.



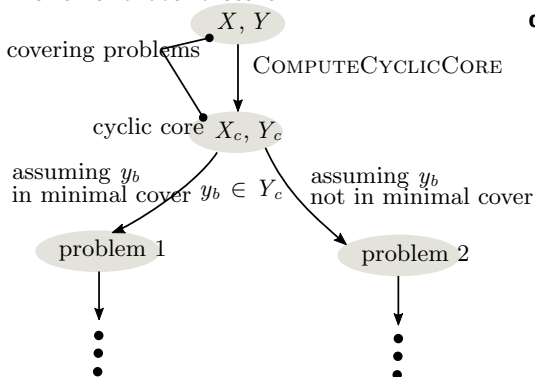
# Minimal covering in a lattice

Placing the covering problem in a lattice  
 $Leq$ , to allow solving it by transformations.



# Structure of the covering algorithm

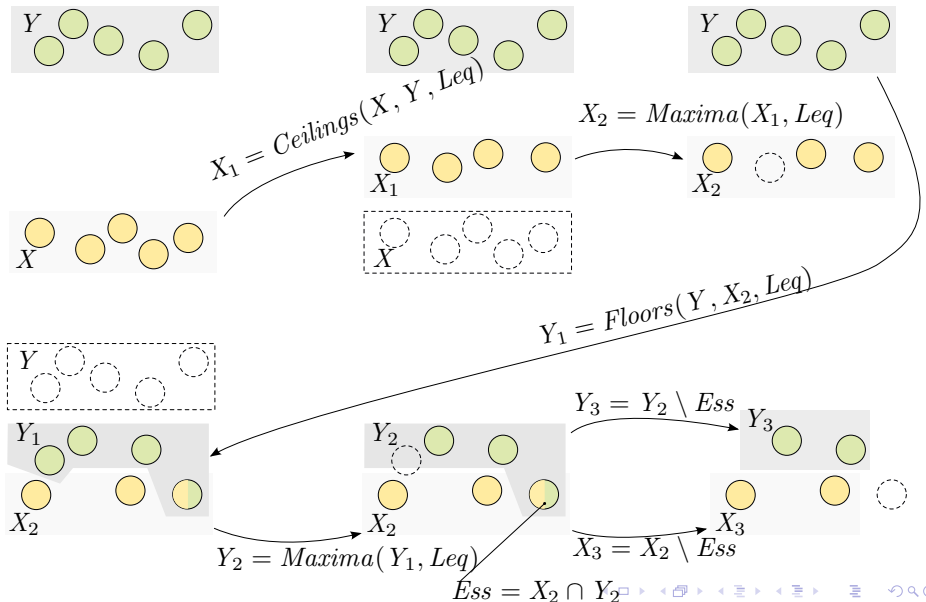
## Branch-and-bound search



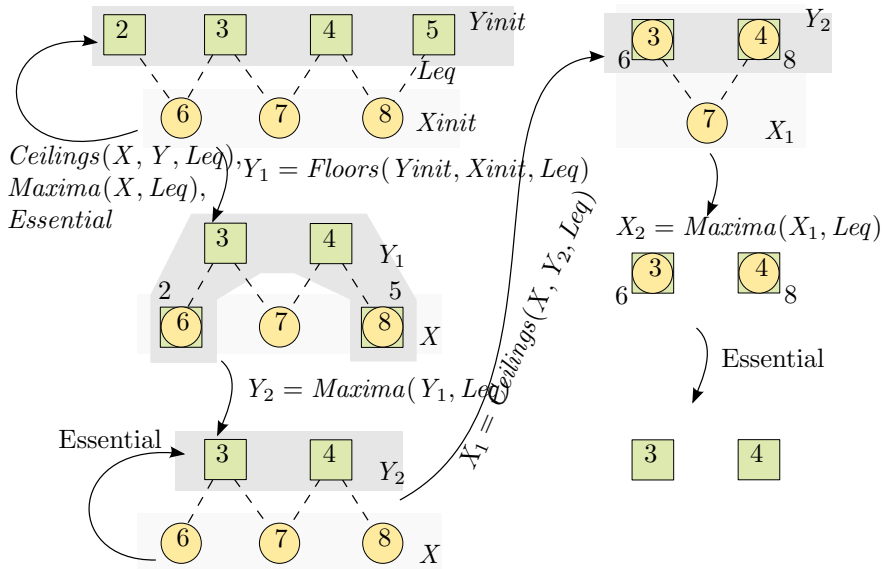
## Cyclic core computation

```
def COMPUTECYCLICCORE(  
     $X_{init}, Y_{init}, Leq$ ) :  
     $X, Y := X_{init}, Y_{init}$   
     $X_{old}, Y_{old}, E := \{\}, \{\}, \{\}$   
    while  $\langle X, Y \rangle \neq \langle X_{old}, Y_{old} \rangle$  :  
         $X_{old}, Y_{old} := X, Y$   
         $Y := MaxFloors(Y, X, Leq)$   
         $X := MaxCeilings(X, Y, Leq)$   
         $Essential := X \cap Y$   
         $X := X \setminus Essential$   
         $Y := Y \setminus Essential$   
         $E := E \cup Essential$   
    return  $X, Y, E$ 
```

# An iteration within COMPUTECYCLICCORE

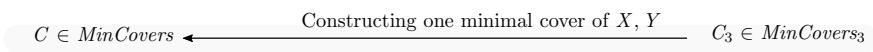
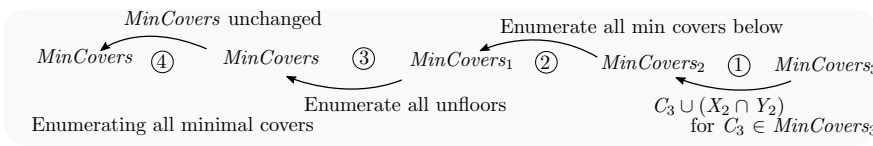
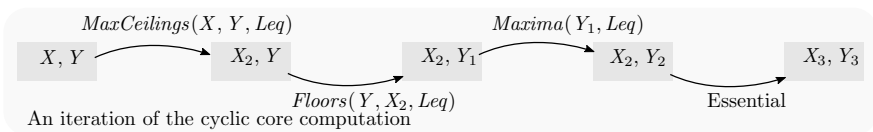


# An example fixpoint

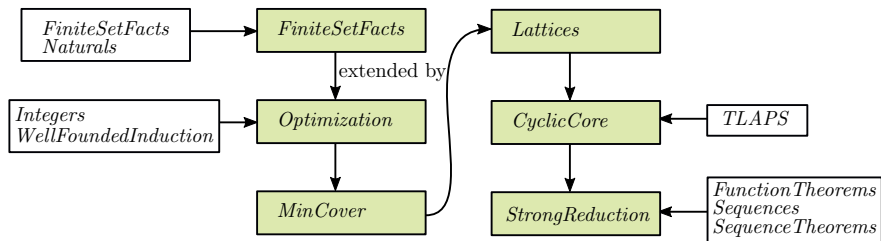




# Constructing solutions of the input problem



# Specification modules



Module	Content	TLAPS	Obligations
<i>FiniteSetFacts</i>	Addendum to <i>FiniteSetTheorems</i>	5.8 s	27
<i>Optimization</i>	Min/maxum/al elements, Antichains	31 s	311
<i>MinCover</i>	Minimal covers and their properties	30 s	237
<i>Lattices</i>	Floor, Ceiling, Essential elements	5 min	1334
<i>CyclicCore</i>	Spec and safety properties	8 min	1561
<i>StrongReduction</i>	Spec of all mincovers below, proofs	45 min	3038

Checking time using TLAPS is with CVC3, Zenon, LS4, and Isabelle.

# Proof structure

## ① Cyclic core computation

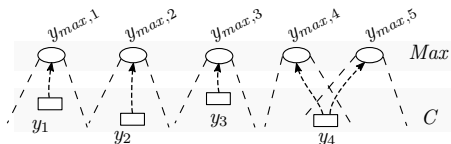
- module *Lattices*: Definitions and theorems about transformations by *Floor*, *Ceiling*, *Maxima*, and essential elements.
- module *CyclicCore*: Specification of the procedure `COMPUTECYCLICCORE`, proofs of safety properties with TLAPS, and termination proof by human.

## ② Enumeration of minimal covers: module *StrongReduction*

- Specification of the enumeration algorithm
- Auxiliary theorems about minimal covers, refinement, bijections between minimal covers
- Proofs of safety properties
  - ① Soundness
  - ② Completeness

# Enumerating minimal covers

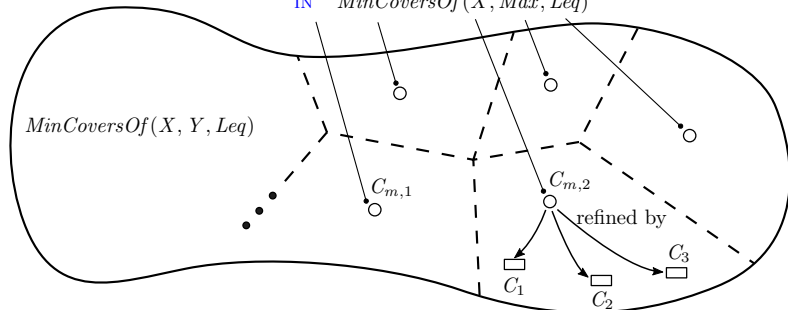
## Covers of maximal elements



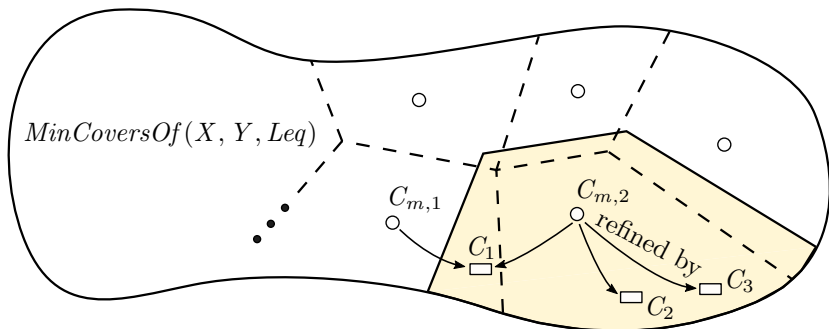
$Leq[y_1, y_{max,1}]$

Each  $y_i \in C$  can be mapped to some  $y_{max,j} \in Max \triangleq Maxima(Y, Leq)$ , with  $Leq[y_i, y_{max,j}]$ , thus each cover  $C$  to some cover of maximal elements.

LET  $Max \triangleq Maxima(Y, Leq)$   
 IN  $MinCoversOf(X, Max, Leq)$

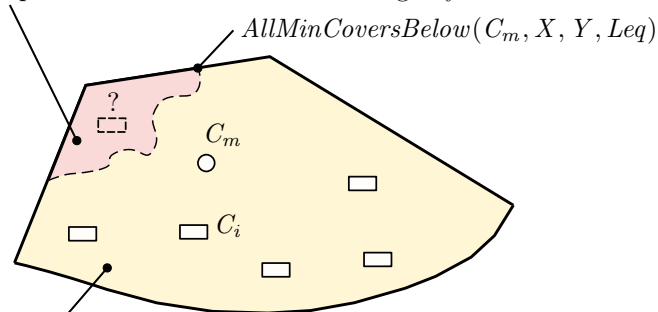


# Enumerating minimal covers



## Two directions of the proof

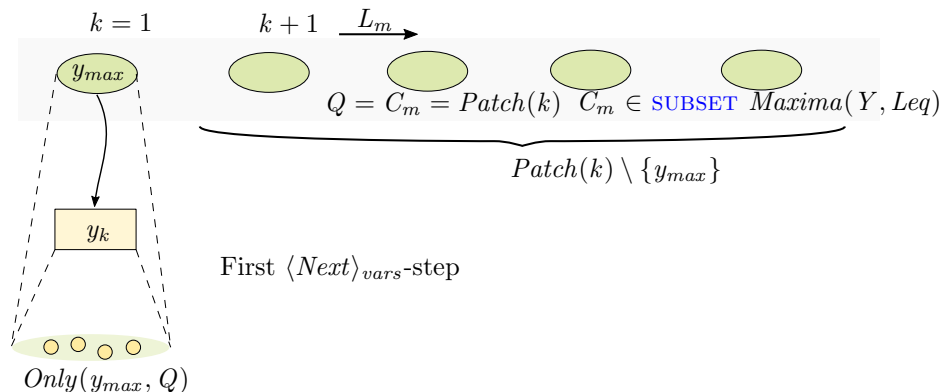
Completeness: Is enumeration missing any minimal covers that refine  $C_m$ ?



Soundness: Enumerating from  $C_m$  yields only minimal covers.

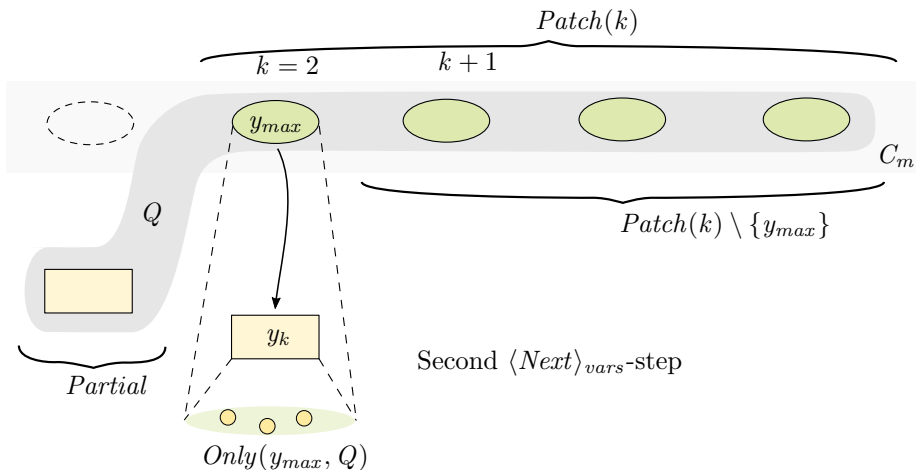
# Soundness proof

## First iteration



# Soundness proof

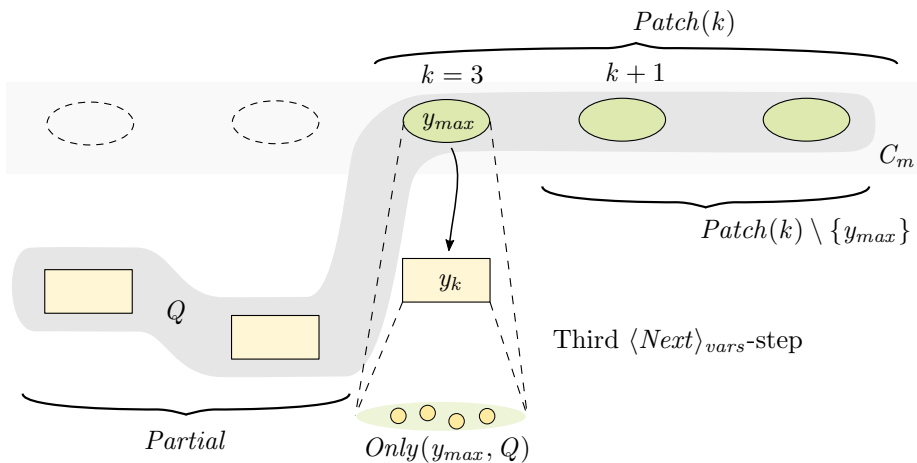
## Second iteration



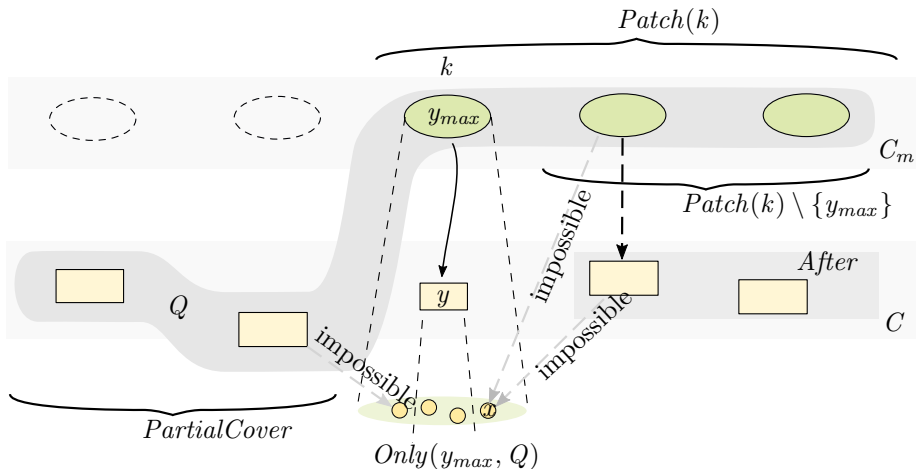


# Soundness proof

Third iteration



# Completeness proof



# Practical observations

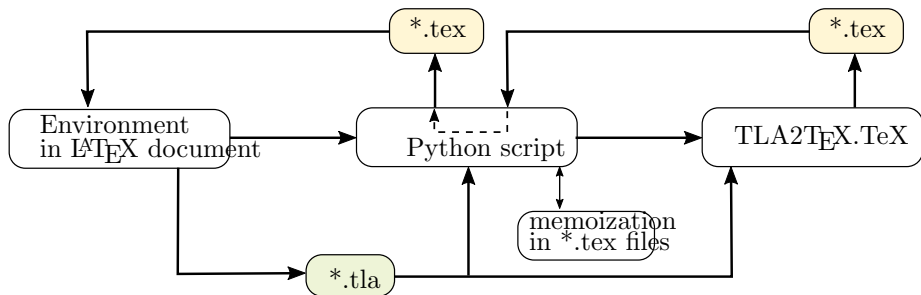
- Naming of theorems, indentation style conventions
- Introducing assumptions about constants, instead of instantiation and substitution
- Scripts for:
  - creating “header” files by removing proofs
  - typesetting a collection of TLA<sup>+</sup> modules as a single document
  - a L<sup>A</sup>T<sub>E</sub>X environment that typesets TLA<sup>+</sup> by calling TLA2T<sub>E</sub>X
  - temporary and memoized files under `__tlatex__`

Would be useful:

- Automated reporting of next unused step number
- Theorem names as directives when calling the proof manager

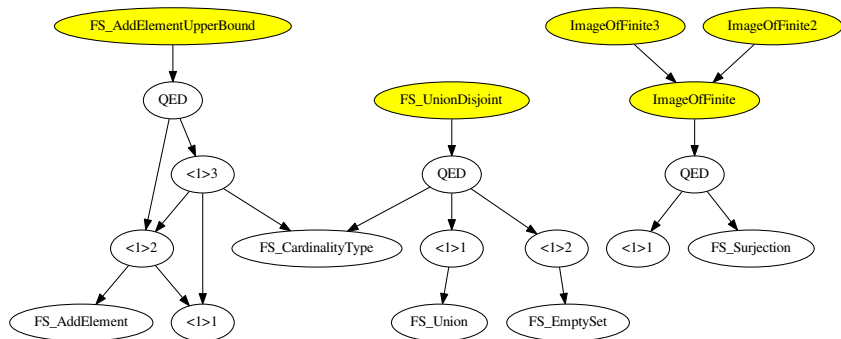
# An environment for typesetting using TLA<sub>2</sub>TEX.TeX

- 1 A L<sup>A</sup>T<sub>E</sub>X environment called t1a for typesetting its content verbatim
- 2 A L<sup>A</sup>T<sub>E</sub>X command called `\includet1a` for typesetting external TLA<sup>+</sup> files

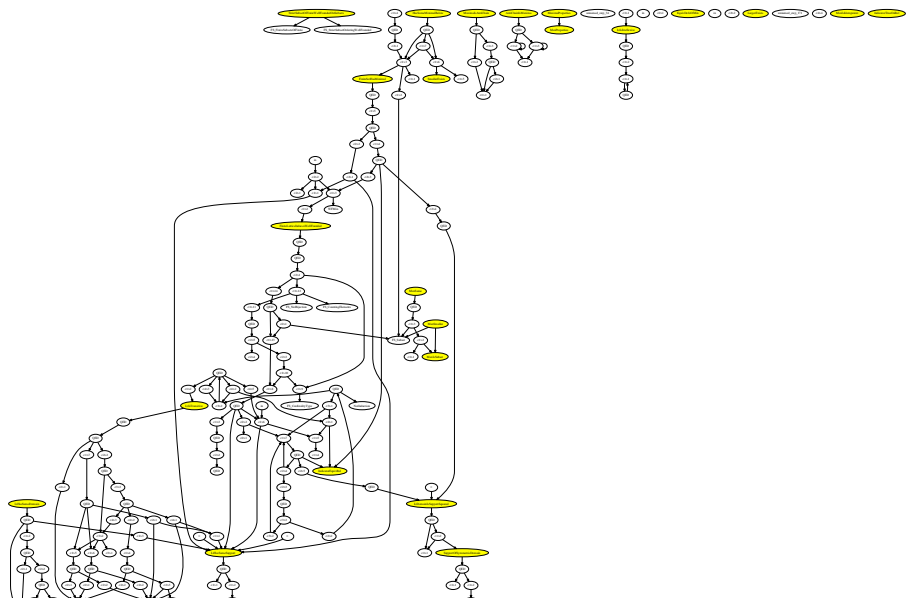


- One \*.tex file is generated for each t1a environment
- Unused \*.tex files are deleted
- `\includeonly` statements are taken into account

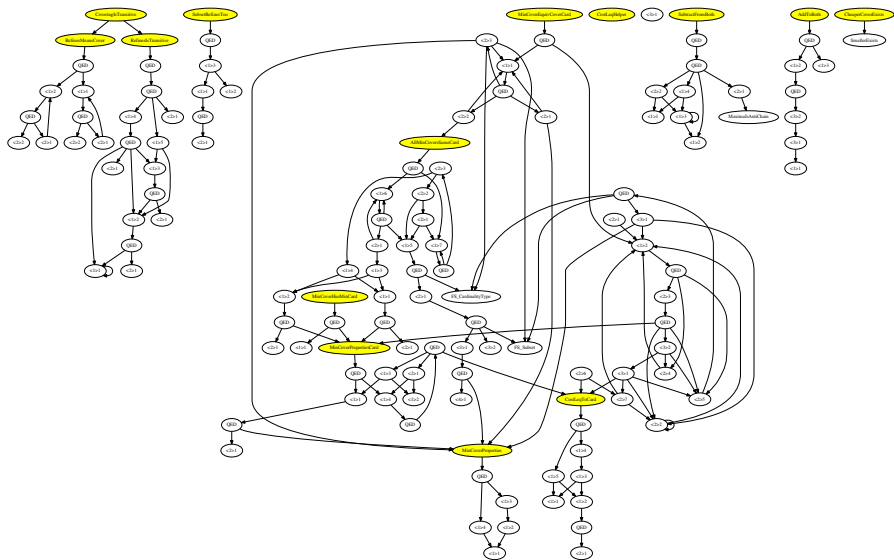
# Proof graph for the module *FiniteSetFacts*



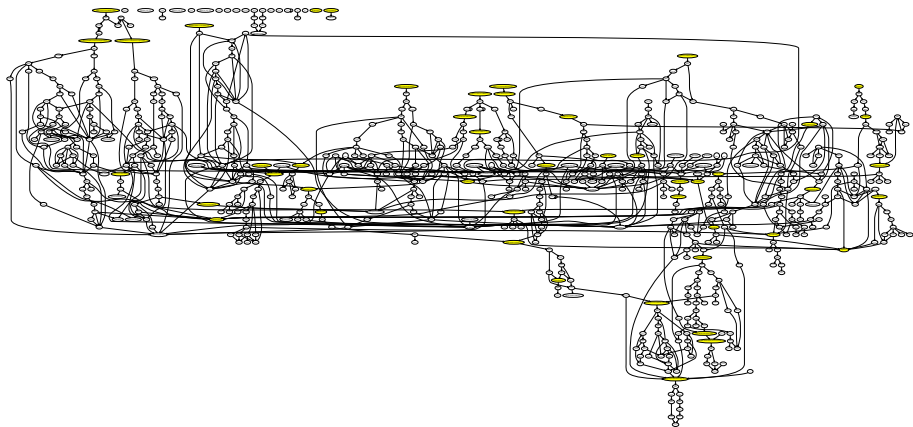
# Proof graph for the module *Optimization*



# Proof graph for the module *MinCover*

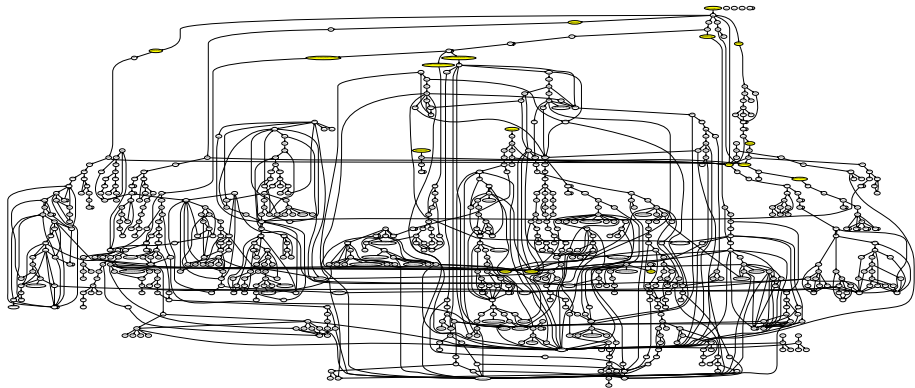


# Proof graph for the module *Lattices*

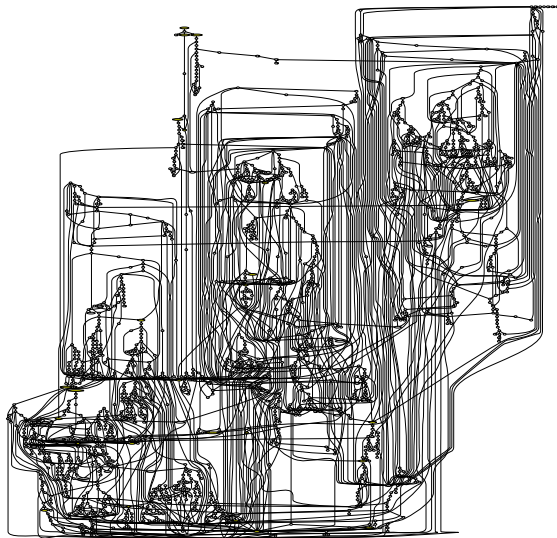




# Proof graph for the module *CyclicCore*



# Proof graph for the module *StrongReduction*



## Conclusions and future work

- Specified a minimal covering algorithm using TLA<sup>+</sup>
- Proved safety properties with TLAPS
- Developed theorems relevant to optimization, minimal covers, lattices
- Developed auxiliary scripts for working with TLA<sup>+</sup> modules, and typesetting within L<sup>A</sup>T<sub>E</sub>X documents

Future directions:

- Automating the termination proof
- Specifying and proving a set-based variant of the enumeration algorithm
- Extending the proof to finite covering problems within infinite lattices